



JC06 Rec'd PCT/PTO 20 OCT 2005 9 #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **ARENAS, et al.**  
Application No. 10/529,097  
Attorney Docket No. 0380-P02991US1  
Filed: March 24, 2005  
For: METHODS FOR PROMOTING DOPAMINERGIC  
NEURONAL DEVELOPMENT BY USING NG4A-  
SUBFAMILY AND WNT-LIGANDS  
Examiner: Not yet assigned  
Group Art Unit: Not yet assigned

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to Mail Stop: AMENDMENT, COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450.

October 18, 2005  
Date of Certificate

Jane C. Bogan  
Jane C. Bogan

Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 listing the U.S. Patent reference to be made of record. This Information Disclosure Statement is being filed more than three months after the filing date, but before receipt of the first Official Action on the merits. Thus, it is believed by the undersigned attorney that no fee is required under 37 C.F.R. §1.97(b).

U.S. Patent Application  
10/529,097

This submission is not an admission that the reference listed on the attached Form PTO-1449 constitutes prior art against the claims of this application.

The Examiner is respectfully requested to confirm receipt and consideration of the cited references by initialing and returning a copy of the attached Form PTO-1449 in accordance with MPEP §609.

In the event that a fee is required, the Commissioner is authorized to charge Deposit Account No. 04-1406 of the undersigned attorneys. A duplicate copy of this sheet is enclosed.

Early and favorable consideration of this application is respectfully requested.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant(s)

By

  
Kathleen D. Rigaut, Ph.D., J.D.  
PTO Registration No. 43,047

Telephone: (215) 563-4100

Facsimile: (215) 563-4044

Enclosures - Form PTO-1449

Copy of reference listed on PTO - 1449

**INFORMATION  
DISCLOSURE  
STATEMENT**

*Complete if known*

Application Number: 10/529,097

Filing Date: March 24, 2005

First Named Inventor: ARENAS, et al.

Group Art Unit: Not yet assigned

Examiner Name: Not yet assigned

SHEET 1 OF 1

Attorney Docket Number: 0380-P02991US1

**UNITED STATES PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
	A1	6,833,269	12-21-2004	Carpenter

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

**OTHER PRIOR ART - NON-PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER'S SIGNATURE	DATE CONSIDERED
-------------------------	--------------------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.